

Introduction to Supersymmetry

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Lecture 5

- Grand Unification

Grand Unification

Standard Model: remnant of a larger gauge symmetry

- semi-simple group $G \rightarrow SU(3) \times SU(2) \times U(1)_Y$ at a scale M_{GUT}
- $U(1)_Y$: non-abelian generator \Rightarrow charge quantization

Main consequences:

- G has a single coupling $g \Rightarrow$ gauge coupling unification
- $\text{Tr} Y = 0$ in every representation of $G \Rightarrow$

quarks and leptons are generally mixed \Rightarrow

B, L violation \rightarrow proton decay $\Rightarrow M_{\text{GUT}} \gtrsim 10^{15}$ GeV

gauge coupling unification

- non abelian couplings: $g_2 = g_3$ at M_{GUT} but what about g_Y ?

- $U(1)_Y$ should also be normalized as the non-abelian generators

representation R : $\text{Tr}_R t_a t_b = T(R) \delta_{ab}$

compute $\text{Tr} T_3^2$ and $\text{Tr} Y^2$ for a complete fermion family:

	$q_{1/6}$	$u_{-2/3}^c$	$d_{1/3}^c$	$\ell_{-1/2}$	e_1^c	total
$\text{Tr} T_3^2$	$3 \times 2 \times \frac{1}{4} = \frac{3}{2}$	0	0	$2 \times \frac{1}{4} = \frac{1}{2}$	0	2
$\text{Tr} Y^2$	$6 \times \frac{1}{36} = \frac{1}{6}$	$3 \times \frac{4}{9} = \frac{4}{3}$	$3 \times \frac{1}{9} = \frac{1}{3}$	$2 \times \frac{1}{4} = \frac{1}{2}$	1	$\frac{10}{3}$

it follows: $Y = \sqrt{\frac{5}{3}} T_1$ (non-abelian generator) \Rightarrow

$g_Y = \sqrt{\frac{3}{5}} g_1$ (covariant derivative: $g_Y Y = g_1 T_1$) $g_1 = g_2 = g_3 \Rightarrow$

prediction: $\sin^2 \theta_W = \frac{g_Y^2}{g_Y^2 + g_2^2} = \frac{3/5}{3/5 + 1} = \frac{3}{8}$ at M_{GUT}

Renormalization Group evolution

At energies $< M_{\text{GUT}}$ only light SM particles contribute in the loops

running with the SM beta-functions: $\frac{d\alpha_i}{d\ln Q} = -\frac{b_i}{2\pi}\alpha_i^2$

beta-function coefficients:

SM

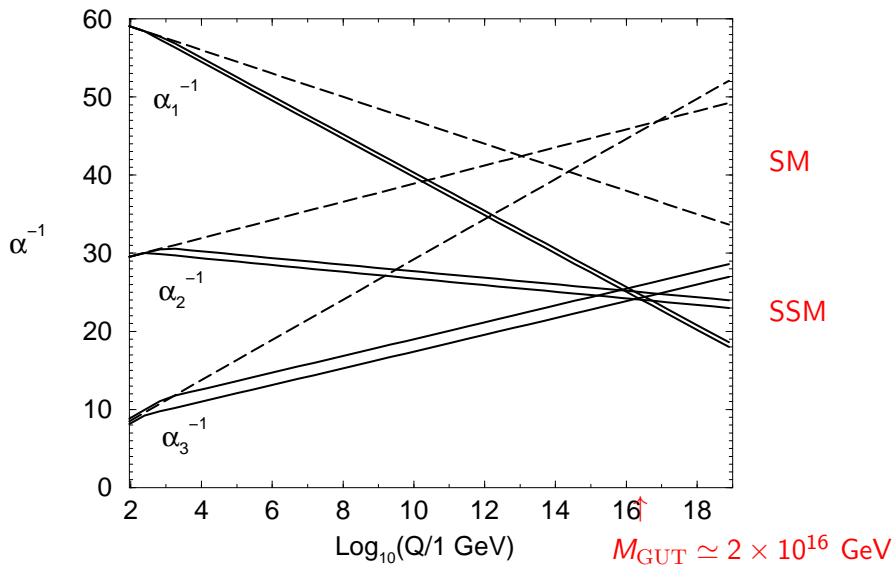
SSM

$$\begin{aligned} b_3 &= 11 - \frac{4}{3}N_g &= 9 - 2N_g \leftarrow \text{nb of generations} \\ b_2 &= \frac{22}{3} - \frac{4}{3}N_g - \frac{1}{6}N_H &= 6 - 2N_g - \frac{1}{2}N_H \\ b_1 &= -\frac{4}{3}N_g - \frac{1}{10}N_H &= -2N_g - \frac{3}{10}N_H \leftarrow \text{nb of Higgs doublets} \end{aligned}$$

low energy data at M_Z :

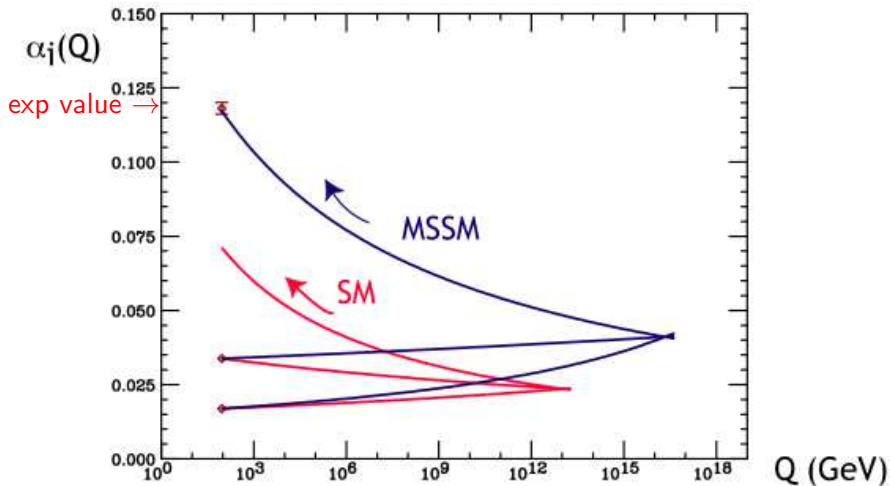
$$\alpha_3^{-1} = 8.50 \pm 0.14 \quad \alpha_2^{-1} = 29.57 \pm 0.02 \quad \alpha_1^{-1} = 59.00 \pm 0.02$$

gauge coupling evolution of SM versus SSM



GUT prediction of QCD coupling

input $\alpha_{em}, \sin^2 \theta_W \Rightarrow$ output α_3



$SU(5)$ grand unification

Standard Model: rank 4 \Rightarrow rank(G) \geq 4

TrQ of SM representations:

$$q \rightarrow \left(\frac{2}{3} - \frac{1}{3}\right) \times 3 = 1 \quad u^c \rightarrow -2 \quad d^c \rightarrow 1 \quad \ell \rightarrow -1 \quad e^c \rightarrow 1 \quad \Rightarrow$$

traceless combinations: $(u^c q d^c)(\ell e^c), (u^c d^c e^c)(q \ell), (u^c q e^c)(d^c \ell)$

only possibility: $SU(5)$ **10** **$\bar{5}$**

SM embedding in $SU(5)$: generators 5×5 traceless matrices

$$\left(\begin{array}{cc} SU(3) & 0 \\ 0 & 0 \end{array} \right) \quad \left(\begin{array}{cc} 0 & 0 \\ 0 & SU(2) \end{array} \right) \quad U(1) : T_1 = c \left(\begin{array}{cc} -\frac{1}{3} \mathbb{1}_{3 \times 3} & 0 \\ 0 & \frac{1}{2} \mathbb{1}_{2 \times 2} \end{array} \right)$$

$$\text{Tr } T_1 = 0 \quad \text{Tr } T_1^2 = \frac{1}{2} \quad \Rightarrow \quad c = \sqrt{\frac{3}{5}} \quad T_1 = cY$$

SM embedding in $SU(5) \supset SU(3) \times SU(2) \times U(1)$

fermions: $\bar{\mathbf{5}} = (\bar{\mathbf{3}}, 1)_{1/3} + (1, \mathbf{2})_{-1/2}$ $\mathbf{10} = (\mathbf{3}, \mathbf{2})_{1/6} + (\bar{\mathbf{3}}, 1)_{-2/3} + (1, 1)_1$

d^c
 l
 q
 u^c
 e^c

$$\bar{\mathbf{5}} = \begin{pmatrix} d_1^c \\ d_2^c \\ d_3^c \\ e^- \\ -\nu_e \end{pmatrix} \quad \mathbf{10} = \begin{pmatrix} 0 & u_3^c & -u_2^c & -u_1 & -d_1 \\ -u_3^c & 0 & u_1^c & -u_2 & -d_2 \\ u_2^c & -u_1^c & 0 & -u_3 & -d_3 \\ u_1 & u_2 & u_3 & 0 & -e^c \\ d_1 & d_2 & d_3 & e^c & 0 \end{pmatrix}$$

adjoint $\mathbf{24} = (\mathbf{8}, 1)_0 + (1, \mathbf{3})_0 + (1, 1)_0 + (\mathbf{3}, \mathbf{2})_{-5/6} + (\bar{\mathbf{3}}, \bar{\mathbf{2}})_{5/6}$

gluons
 $W^{\pm,3}$
 B
 $\begin{pmatrix} X \\ Y \end{pmatrix}$
 $Q = 4/3$

 $Q = 1/3$

X, Y : 12 more generators

$$\begin{pmatrix} & & & \bar{X}_1 & \bar{Y}_1 \\ & & & \bar{X}_2 & \bar{Y}_2 \\ & & & \bar{X}_3 & \bar{Y}_3 \\ X_1 & X_2 & X_3 & & \\ Y_1 & Y_2 & Y_3 & & \end{pmatrix}$$

GUT symmetry breaking

Higgs in **24** $SU(5)$ adjoint: Σ

$$\langle \Sigma \rangle = V Y \neq 0 \quad \Rightarrow \quad SU(5) \rightarrow SU(3) \times SU(2) \times U(1)$$

- 12 Goldstone bosons eaten by $X, Y \rightarrow$ massive
- massive physical higgses: color octet + weak triplet + singlet

EW symmetry breaking: need a pair of $\mathbf{5} + \bar{\mathbf{5}}$ higgses H, \bar{H}

$$H = \begin{pmatrix} d_H \\ h \end{pmatrix} \quad \begin{array}{l} \leftarrow \text{Higgs triplet with quantum numbers of } d \text{ quark} \\ \leftarrow \text{Higgs doublet} \end{array}$$

$$\langle H \rangle = \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{pmatrix} \quad \Rightarrow \quad SU(2) \times U(1) \rightarrow U(1)_{\text{em}}$$

fermion masses

$$\text{SUSY } SU(5): \quad H = \begin{pmatrix} d_H \\ H_2 \end{pmatrix} \quad \hat{H} = \begin{pmatrix} d_H^c \\ H_1 \end{pmatrix}$$

Yukawa couplings: $\lambda_u \mathbf{10} \mathbf{10} \mathbf{5}_H + \lambda_d \mathbf{10} \bar{\mathbf{5}} \bar{\mathbf{5}}_H$

$$\mathbf{10} = (q, u^c, e^c) \quad \bar{\mathbf{5}} = (d^c, \ell) \quad \mathbf{5}_H = (d_H, H_2) \quad \bar{\mathbf{5}}_H = (d_H^c, H_1) \quad \Rightarrow$$

$$\lambda_u : \quad qu^c H_2 \quad + \quad u^c e^c d_H + qq d_H$$

$$\lambda_d : \quad qd^c H_1 + e^c \ell H_1 \quad + \quad u^c d^c d_H^c + q \ell d_H^c$$

proton decay

$$\Rightarrow \quad m_b = m_\tau \text{ at } M_{\text{GUT}}$$

RG evolution at low energies \rightarrow correct prediction for m_b/m_τ

however it fails for the first two generations m_s/m_μ and m_d/m_e

gauge hierarchy

$$\text{SUSY } SU(5): \quad H = \begin{pmatrix} d_H \\ H_2 \end{pmatrix} \quad \hat{H} = \begin{pmatrix} d_H^c \\ H_1 \end{pmatrix}$$

general superpotential: $W = M_{\text{GUT}} \text{Tr } \Sigma^2 + \lambda \text{Tr } \Sigma^3 + MH\hat{H} + \rho H\Sigma\hat{H}$

$\Rightarrow SU(5)$ breaking: $\langle \Sigma \rangle = V Y \neq 0$ makes H superheavy:

$$\begin{aligned} M(d_H d_H^c + H_2 H_1) + \rho V \left(-\frac{1}{3} d_H d_H^c + \frac{1}{2} H_2 H_1 \right) \\ = \left(M - \frac{\rho}{3} V \right) d_H d_H^c + \left(M + \frac{\rho}{2} V \right) H_2 H_1 \quad \Rightarrow \end{aligned}$$

fine-tuning to keep the EW Higgs doublets light:

$$\left(M + \frac{\rho}{2} V \right) = \mu \sim \mathcal{O}(m_W) \text{ with } M, V \sim \mathcal{O}(M_{\text{GUT}})$$

Higgs triplet d_H, d_H^c : proton decay via dim-5 operators \Rightarrow keep superheavy

\rightarrow doublet/triplet splitting problem

$SO(10)$ grand unification

The only GUT group of rank 5:

- all fermions of a generation in a single representation

$$SU(5) \text{ decomposition: } \mathbf{16} = \mathbf{10} + \bar{\mathbf{5}} + 1 \rightarrow \nu^c$$

- includes R-neutrino $\nu^c \Rightarrow$ theory of neutrino masses
- EW Higgs: $\mathbf{10}_H = \mathbf{5}_H + \bar{\mathbf{5}}_H \rightarrow$ Yukawa couplings: $\mathbf{16} \mathbf{16} \mathbf{10}_H$
- $B - L$ is an $SO(10)$ generator
- Higgs sector becomes complicated

Advantages of SUSY

- natural elementary scalars
- gauge coupling unification: theory perturbative up to the GUT scale
- LSP: natural dark matter candidate
- extension of space-time symmetry: new Grassmann dimensions
- attractive mechanism of Electroweak Symmetry Breaking
- prediction of light Higgs
- rich spectrum of new particles within LHC reach

Problems of SUSY

- too many parameters: soft breaking terms
SUSY breaking mechanism \Rightarrow dynamical aspect of the hierarchy
+ theory of soft terms
- SM global symmetries are not automatic
 B, L from R-parity, conditions on soft terms for FCNC suppression
- SUSY GUTs: no satisfactory model
doublet/splitting, large Higgs reps, strong coupling above M_{GUT}
- μ problem: SUSY mass parameter but of the order of the soft terms
- SUSY not yet discovered \Rightarrow already fine-tuning at a %-per mille level
'little' hierarchy problem

proposals for the μ problem

- NMSSM: extra singlet σ coupled to higgses

$$\delta W = \lambda_1 \sigma H_1 H_2 + \lambda_2 \sigma^3 : \langle \sigma \rangle \neq 0 \Rightarrow \mu\text{-term generation}$$

- dim-5 effective operator from high-energy physics in the Kähler potential

$$\delta K = \frac{1}{M} \int d^4\theta S^\dagger H_1 H_2 : \langle F_S \rangle \neq 0 \Rightarrow \mu = \frac{\langle F_S^\dagger \rangle}{M} \quad \langle S^\dagger \rangle = \langle F_S^\dagger \rangle \bar{\theta}^2$$

e.g. $M = M_{\text{Planck}} \quad \langle F_S \rangle^{1/2} \simeq 10^{11} \text{ GeV} \Rightarrow \mu \sim \mathcal{O}(\text{TeV})$

- However (H_1, H_2) is a non chiral state:
why is massless in a fundamental theory?

Little hierarchy problem

minimum of the potential: $m_Z^2 = 2 \frac{m_1^1 - m_2^2 \tan^2 \beta}{\tan^2 \beta - 1} \sim -2m_2^2 + \dots$

RG evolution: $m_2^2 = m_2^2(M_{\text{GUT}}) - \frac{3\lambda_t^2}{4\pi^2} m_{\tilde{t}}^2 \ln \frac{M_{\text{GUT}}}{m_{\tilde{t}}} + \dots$
 $\sim m_2^2(M_{\text{GUT}}) - \mathcal{O}(1) m_{\tilde{t}}^2 + \dots$

On the other hand: upper bound on the Higgs mass:

$$m_h^2 \lesssim m_Z^2 \cos^2 2\beta + \frac{3}{(4\pi)^2} \frac{m_t^4}{v^2} \left[\ln \frac{m_{\tilde{t}}^2}{m_{\tilde{t}}^2} + \frac{A_t^2}{m_{\tilde{t}}^2} \left(1 - \frac{A_t^2}{12m_{\tilde{t}}^2} \right) \right] \lesssim (130 \text{ GeV})^2$$

$$m_h \simeq 126 \text{ GeV} \Rightarrow m_{\tilde{t}} \simeq 3 \text{ TeV or } A_t \simeq 3m_{\tilde{t}} \simeq 1.5 \text{ TeV}$$

\Rightarrow % to a few % fine-tuning is needed in m_Z^2

Reduce the fine-tuning

- minimize radiative corrections

$M_{\text{GUT}} \rightarrow \Lambda$: low messenger scale (gauge mediation)

$$\delta m_{\tilde{t}}^2 = \frac{8\alpha_s}{3\pi} M_3^2 \ln \frac{\Lambda}{M_3} + \dots$$

- increase the tree-level upper bound \Rightarrow extend the MSSM
extra fields beyond LHC reach \rightarrow effective field theory approach
- Low scale SUSY breaking \Rightarrow extend MSSM with the goldstino
 \rightarrow Non linear MSSM
- ...

Split supersymmetry

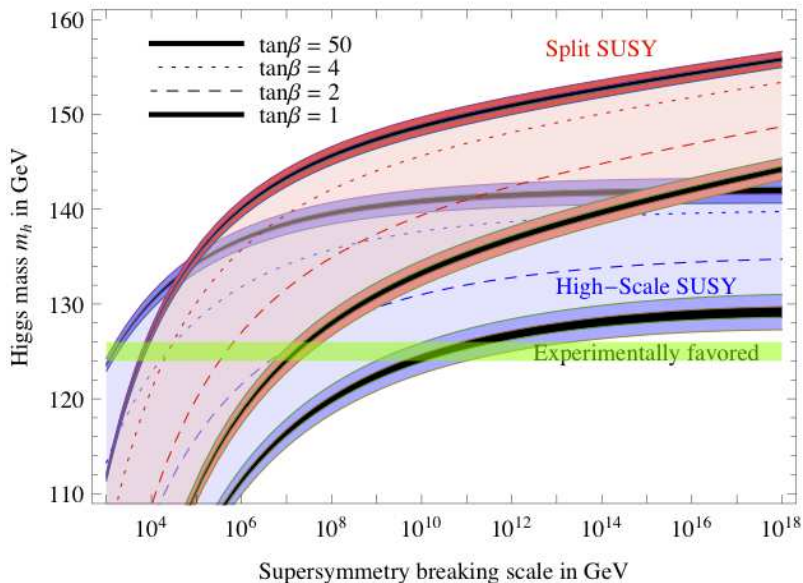
sparticles $\left\{ \begin{array}{ll} \text{scalars} & : \text{heavy} \\ \text{fermions} & : \text{light} \end{array} \right. \quad (\text{TeV})$ squarks and sleptons
gauginos and higgsinos

- natural splitting: gauginos, higgsinos carry R-symmetry, scalars do not
- gauge coupling unification is preserved
squarks + sleptons form complete $SU(5)$ multiplets \Rightarrow
same contribution to all 1-loop beta-functions
relative velocities of energy evolution unchanged
- Dark Matter candidate is kept
neutralino combination of bino-wino-higgsino
- mass hierarchy problem comes back
(stop - top) contribution to the Higgs mass becomes huge

Split supersymmetry: benefits

- number of low energy parameters is reduced significantly
gaugino masses M_1, M_2, M_3 and Higgs scalar masses $m_1, m_2, B\mu$
no soft sfermion masses, no A-terms
- global symmetries of the Standard Model appear again:
B/L symmetry, no FCNC, etc
- distinct experimental signatures
- experimentally allowed Higgs mass \Rightarrow 'moderate' split
 $m_0 \sim \text{few - thousands TeV}$
e.g. gauginos: a loop factor lighter than scalars ($\sim m_{3/2}$)

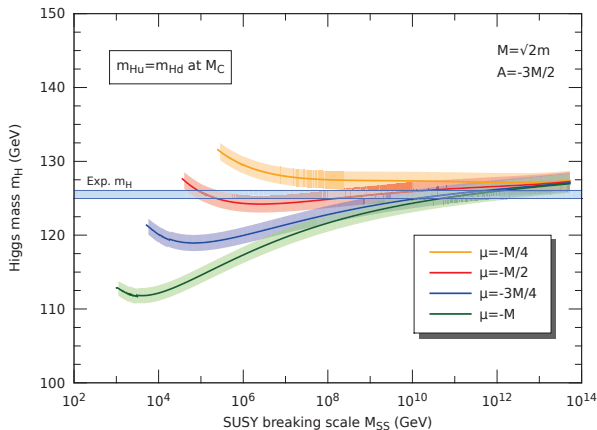
Predicted range for the Higgs mass



SUSY : $\lambda = 0 \Rightarrow \sin \beta = 1$

$$H_{SM} = \sin \beta H_u + \cos \beta H_d^* \quad \lambda = \frac{1}{8}(g_2^2 + g'^2) \cos^2 2\beta$$

$\lambda = 0$ at a scale $\geq 10^{10}$ GeV $\Rightarrow m_H = 126 \pm 3$ GeV



e.g. for universal $\sqrt{2}m = M = M_{SS}$, $A = -3/2M$